




FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. 0095-UP-DIV-1	APPLICATION NO. 10/771,780
	APPLICANTS DIMAIO et al.	
	FILING DATE Feb. 4, 2004	GROUP 1713

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
M	AA1	5,087,677	Feb. 11, 1992	Brekner et al.	526	160	Jul. 5, 1990
	AB1	5,324,801	June. 28, 1994	Brekner et al.	526	160	Aug. 9, 1993
	AC1	5,359,001	Oct. 25, 1994	Epple et al.	525	97	Apr. 20, 1993
	AD1	5,371,158	Dec. 6, 1994	Brekner et al.	526	127	Jul. 2, 1993
	AE1	5,677,405	Oct. 14, 1997	Goodall et al.	526	281	May 24, 1995
	AF1	5,990,254	Nov. 23, 1999	Weller et al.	526	160	Dec. 26, 1996

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
M	AL1	99/67347		WO			Yes No
	AM1	0694567 A2		EP			Yes No
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	AO1	0683797 B1		EP			Yes No
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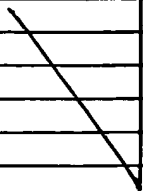
## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR 1	Kaminsky et al., Studies in Surface Science and Catalysts, 1989, Polymerization of Cyclic Olefins with Homogeneous Catalysts, pages 425-438.
AS 1	Kaminsky et al., Makromol. Chem., Macromol. Symp 47, 83-93 (1991).
AT 1	Kaminsky, Polymerization and Copolymerization of Olefins with Metallocene/Aluminoxand Catalysts, Vol. 33, No. 8, 1991, pages 536-544.


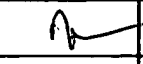
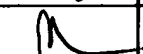
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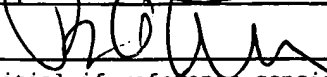
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
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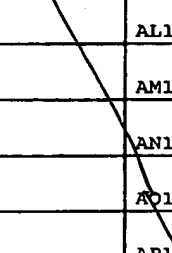
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	AR	1	Kaminsky et al, Stereospecific Polymerization by Metallocene/Aluminoxane Catalysts, Journal of Molecular Catalysis, 74 (1992) 109-119.
	AS	1	Cherdron et al., Cycloolefin-Copolymere: Eine neue Klasse transparenter Thermoplaste, Die Angewandte Makromolekulare Chemie 223 (1994), 121-133 (4011).
	AT	1	Janiak et al., Metal Catalysts for the Vinyl Polymerization of Norbornene, Journal of Molecular Catalysis A: Chemical 166 (2001) 193-209.

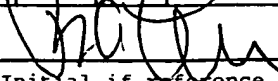
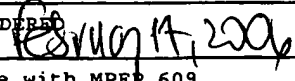
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	AN1						Yes No
	AO1						Yes No
	AP1						Yes No




OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	<u>1</u>	Kaminsky et al., A Tailor-Made Metallocene for the Copolymerization of Ethene with Bulky Cycloalkenes, Angew. Chem. Int. Ed. Engl. 1995, 34, No. 20, pps. 2273-2275.
	AS	<u>1</u>	Janiak et al., The Vinyl Homopolymerization of Norbornene, Macromol. Rapid Commun. 2001, 22, 479-492.
	AT	<u>1</u>	Brintzinger et al., Stereospecific Olefin Polymerization with chiral Metallocene Catalysts, Angew. Chem. Int. Ed. Engl. 1995, 34, 1143-1170.

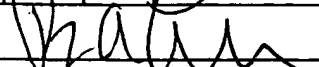
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	AM1						Yes No
	AN1						Yes No
	AO1						Yes No
	AP1						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	1	Kaminsky, New Polymers by Metallocene Catalysis, Macromol. Chem. Phys. 197, 3907-394
	AS	1	Kaminsky et al., Homo-and Copolymerization of Cycloolefins by Metallocene Catalysts based Polyolefins, Vol. 2, 2000, pp. 91-113.
	AT	1	Ruchatz et al., Ethene-Norbornene Copolymerization, Macromolecules, Vol. 31, No. 15, pp. 4669-4673.

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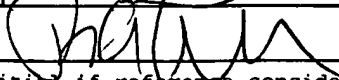
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## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	1	Ruchatz et al. Ethene-Norbornene Copolymerization, Macromolecules 1998, 31, 4674-4680.
AS	1	Ruchatz et al., Ethene-Norbornene Copolymerization, Macromolecules 1998, 31, 4681-4683.
AT	1	Ruchatz et al., Ethene-Norbornene Copolymerization, Macromolecules 1998, 31, 4684-4686.




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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
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	AM1						Yes No
	AN1						Yes No
	AO1						Yes No
	AP1						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	1	McKnight et al., Ethylene/Norbornene Copolymerizations with Titanium CpA Catalysts, Macromolecules 1999, 31, 2816-2825.
	AS	1	Arndt-Rosenau et al., Microstructure of Ethene/Norbornene Copolymers, Macromolecules 22, 7335-7343.
	AT	1	Grassi et al., Synthesis of Ethylene-co-Norbornene Polymers Promoted by MAO Free Cat on Group 4 Dicarbolide Complexes, Macromol. Chem. Phys. 2001, 1239-1245.

EXAMINER 	DATE CONSIDERED 
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<del>AM1</del>							Yes No
<del>AN1</del>							Yes No
<del>AO1</del>							Yes No
<del>AP1</del>							Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
<del>AR</del>	<del>1</del>	Peucker et al., Vinylic Polymerization and Copolymerization of Norbornene and Ethene by Homogeneous Chromium (III) Catalysts, Macromol. Chem. Phys. 2001, 202, 1289-1297.					
<del>AS</del>	<del>1</del>						
<del>AT</del>	<del>1</del>						

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<i>Chall</i>	<i>Feb 17, 2006</i>

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<i>M</i>	AA1	5,635,573	6/1997	Harrington et al.	526	170	Mar. 29, 1998
	AB1						
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	AE1						
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FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
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	AM1						Yes No
	AN1						Yes No
	AO1						Yes No
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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
<i>AR</i>	<i>1</i>		
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EXAMINER <i>Chen</i>	DATE CONSIDERED <i>Feb 17, 2006</i>
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AA1	5,087,697	Feb. 11, 1992	Brekner et al.	526	160	Jul. 5, 1990
AB1	5,324,801	June. 28, 1994	Brekner et al.	526	160	Aug. 9, 1993
AC1	5,359,001	Oct. 25, 1994	Spie et al.	525	97	Apr. 20, 1993
AD1	5,371,158	Dec. 6, 1994	Brekner et al.	526	127	Jul. 2, 1993
AE1	5,677,405	Oct. 14, 1997	Goodall et al.	526	281	May 24, 1995
AF1	5,990,254	Nov. 23, 1999	Waller et al.	526	160	Dec. 26, 1996

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL1	99/67347		WO			Yes No
AM1	0694567 A2		EP			Yes No
AN1	0661310 B1		EP			Yes No
AO1	0681797 B1		EP			Yes No
AP1	0661308 B1		EP			Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	1	Kaminsky et al., Studies in Surface Science and Catalysts, 1989, Polymerization of Cyclic Olefins with Homogeneous Catalysts, pages 425-438.
AS	1	Kaminsky et al., Makromol. Chem., Macromol. Symp 47, 83-93 (1991).
AT	1	Kaminsky, Polymerization and Copolymerization of Olefins with Metallocene/Aluminokand Catalysts, Vol. 33, No. 8, 1991, pages 536-544.

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AL1	0303422 B1		EP			Yes No
AM1	0501370 B1		EP			Yes No
AN1	0407870 B1		EP			Yes No
AO1	0693568 A1		EP			Yes No
AP1	0485893 B1		EP			Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	1	Kaminsky et al., Stereospecific Polymerization by Metallocene/Aluminoxane Catalysts, Journal of Molecular Catalysis, 74 (1992) 109-119.
AS	1	Cherdron et al., Cycloolefin-Copolymers: Eine neue Klasse transparenter Thermoplaste, Die Angewandte Makromolekulare Chemie 223 (1994), 121-133 (4011).
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	AR	1		Kaminsky et al., A Tailor-Made Metallocene for the Copolymerization of Ethene with Bulky Cycloalkenes, Angew. Chem. Int. Ed. Engl. 1999, 34, No. 20, pps. 2273-2275.			
	AS	1		Janiak et al., The Vinyl Homopolymerization of Norbornene, Macromol. Rapid Commun. 2001, 22, 479-492.			
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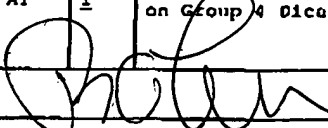
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AR	1	Ruchatz et al., Ethene-Norbornene Copolymerization, Macromolecules 1998, 31, 4674-4680.			
AS	1	Ruchatz et al., Ethene-Norbornene Copolymerization, Macromolecules 1998, 31, 4681-4683.			
AT	1	Ruchatz et al., Ethene-Norbornene Copolymerization, Macromolecules 1998, 31, 4684-4686.			
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	AM1				Yes No
	AN1				Yes No
	AO1				Yes No
	AP1				Yes No
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AS	1	Arndt-Rosenau et al., Microstructure of Ethene/Norbornene Copolymers, Macromolecules 22, 7335-7343.			
AT	1	Grassi et al., Synthesis of Ethylene-co-Norbornene Polymers Promoted by MAO Free Cation Group 4 Dicarborane Complexes, Macromol. Chem. Phys. 2001, 1239-1245.			
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	AM1					Yes No
	AN1					Yes No
	AO1					Yes No
	AP1					Yes No
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)						
	AR	1	Peucker et al., Vinyllic Polymerization and Copolymerization of Norbornene and Ethene by Homogeneous Chromium (III) Catalysts, Macromol. Chem. Phys. 2001, 202, 1289-1297.			
	AS	1				
	AT	1				
EXAMINER		DATE CONSIDERED				
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.						

Page 1 of 1

FORM PTO-1449		ATTY. DOCKET NO. 0095-UP-DIV-1		APPLICATION NO. 10/771,780	
INFORMATION DISCLOSURE STATEMENT		APPLICANTS DIMAIO et al.		FILING DATE Feb. 4, 2004	
		GROUP 1713			
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	FILING DATE
AB1	5,638,973	6/1997	Harrington et al.	526	Mar. 29, 1999
AB1					
AC1					
AD1					
AE1					
AF1					
FOREIGN PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	TRANSLATION
AL1					Yes No
AM1					Yes No
AN1					Yes No
AO1					Yes No
AP1					Yes No
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)					
AP	1				
AS	1				
AT	1				
EXAMINER				DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.				Feb 17, 2006	